

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(MODIFIED) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
FSI-83

SERIAL NO.
09/9411186

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

APPLICANT
David Lohr et al.

(37 CFR 1.98(b)) (Use several sheets if necessary)

FILING DATE
August 28, 2001

GROUP
1724

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>MS</i>	A.A	H 1 2 4 0	10/1993	Tardiff, Jr. et al.	55	323	
	A.B	3 0 0 2 8 9 5	10/1961	Freedman	195	143	
	A.C	3 0 5 7 1 3 8	10/1962	Huxster	55	486	
	A.D	3 5 0 5 9 8 9	4/1970	Truman	128	1	
	A.E	3 5 7 6 7 2 1	4/1971	Mason	195	139	
	A.F	3 6 5 9 0 6 3	4/1972	Peterson	200	61.7	
	A.G	3 6 5 9 0 7 9	4/1972	Whittemore	219	522	
	A.H	3 7 1 0 0 7 4	1/1973	Stewart	219	203	
	A.I	3 7 2 9 6 0 3	4/1973	Foltz	200	61.7	
	A.J	3 7 5 6 0 6 9	9/1973	Carswell	73	27	
	A.K	3 7 9 7 1 7 2	3/1974	Cannon	49	148	

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
<i>MS</i>	A.L	06245753A	9/1994	Japan (Abstract Only)			
	A.M	01285185A	11/1989	Japan (Abstract Only)			
	A.N	01013985A	7/1987	Japan (Abstract Only)			
<i>MS</i>	A.O	WO 98/51777	11/1998	PCT			
	A.P						
	A.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

<i>MS</i>	A.R	Revco Scientific, <u>Revco Laboratory CO2 Incubators</u> , 1995, unpaginated, 8 pgs.
<i>MS</i>	A.S	Forma Scientific, Inc., <u>Forma Series II Universal Water Jacketed Incubators</u> , Brochure, 7/00, 12 pgs.
<i>MS</i>	A.T	Jacques Cohen et al., <u>Ambient Air and Its Potential Effects on Conception</u> , 1992 Article, 8 pgs.

EXAMINER

Winkler

DATE CONSIDERED

01/20/03

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
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09/941,186

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>MLL</i>	B.A	3 8 0 3 3 7 5	4/1974	Foltz	200	61.7	
	B.B	3 8 3 3 4 5 1	9/1974	Wagner	161	45	
	B.C	3 8 5 7 7 5 7	12/1974	Herrick	195	109	
	B.D	3 8 8 7 4 3 6	6/1975	Haddad	195	142	
	B.E	3 8 9 0 6 0 8	6/1975	Peterson	340	274	
	B.F	3 9 2 9 5 8 4	12/1975	Mansfield	195	127	
	B.G	3 9 3 6 2 8 4	2/1976	Mason	55	274	
	B.H	3 9 4 8 7 3 2	4/1976	Haddad	195	127	
	B.I	3 9 8 7 1 3 3	10/1976	Andra	261	130	
	B.J	4 0 0 1 9 7 4	1/1977	Wright	49	488	
<i>MLL</i>	B.K	4 0 3 3 8 2 5	7/1977	Haddad	195	127	

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

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	B.L						
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	B.N						
	B.O						
	B.P						
	B.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

<i>MLL</i>	B.R	Nova In Vitro Foundation, <u>Air Quality in Tissue Culture Laboratories and Air Purifying Devices</u> , 1997 Letter and Sales Information of In Vitro Foundation, 6 pgs.
<i>MLL</i>	B.S	Revco Scientific, <u>Revco Laboratory CO2 Incubators</u> , Brochure, 1995, 14 pages
<i>MLL</i>	B.T	Revco Scientific, <u>Revco Ultima Laboratory CO2 Incubators</u> , 1993, unpaginated, 6 pgs.

EXAMINER

Michael A. Hearn

DATE CONSIDERED

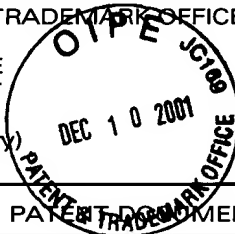
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SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. FSI-83		RECEIVED NO. 09/941,186	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT David Lohr et al.		TC 1700	
(Use several sheets if necessary)				FILING DATE August 28, 2001		GROUP 1724	
(37 CFR 1.98(b))							



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>ML</i>	C.A	4 0 3 9 7 7 5	8/1977	Andra	219	385	
	C.B	4 1 0 9 6 7 6	8/1978	Price	137	550	
	C.C	4 1 1 6 5 1 4	9/1978	Lawrence	339	4	
	C.D	4 1 4 0 3 5 7	2/1979	Wolz et al.	339	4	
	C.E	4 3 3 6 3 2 9	6/1982	Hesse et al.	435	3	
	C.F	4 3 5 6 9 6 7	11/1982	Lunick	237	14	
	C.G	4 3 9 8 0 9 1	8/1983	Passaro	250	343	
	C.H	4 4 4 3 7 9 1	4/1984	Risgin et al.	340	634	
	C.I	4 4 5 7 1 1 1	7/1984	Koike	49	441	
	C.J	4 4 9 8 3 3 0	2/1985	Hosoya	73	23	
<i>ML</i>	C.K	4 5 2 1 1 4 7	4/1985	King, Jr. et al.	411	43	

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	C.L					
	C.M					
	C.N					
	C.O					
	C.P					
	C.Q					

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

<i>ML</i>	C.R	Revco Scientific, <u>Revco Ultima Laboratory CO2 Incubators</u> , 1992, 6 pages
	C.S	Forma Scientific, Inc., <u>Understanding Automatic CO2 Control Systems</u> , 1981, 8 pages
<i>ML</i>	C.T	Forma Scientific, Inc., <u>Reach In Conditioning Chamber</u> , Photograph of Unit Sold in 1982

EXAMINER <i>Michael A. ...</i>	DATE CONSIDERED <i>01/10/03</i>
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SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
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ATTY. DOCKET NO.
FSI-83

SERIAL NO.
33991185

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

APPLICANT
David Lohr et al.

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(37 CFR 1.98(b))

(Use several sheets if necessary)

FILING DATE
August 28, 2001

GROUP
124

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>dlh</i>	D.A	4 5 2 7 8 0 7	7/1985	Urbanick	277	189	
	D.B	4 5 5 9 0 6 6	12/1985	Hunter et al.	55	274	
	D.C	4 6 4 4 6 9 8	2/1987	Gerdes et al.	49	478	
	D.D	4 6 6 8 8 5 4	5/1987	Swan	210	273	
	D.E	4 6 8 9 3 0 3	8/1987	Kraft	435	290	
	D.F	4 7 0 1 1 9 5	10/1987	Rosendall	55	473	
	D.G	4 7 0 1 4 1 5	10/1987	Dutton	435	289	
	D.H	4 7 1 6 5 3 6	12/1987	Blanchard	304	571	
	D.I	4 7 3 0 4 7 9	3/1988	Pyke	73	23	
	D.J	4 7 3 7 1 7 3	4/1988	Kudirka et al.	55	276	
<i>dlh</i>	D.K	4 7 8 6 2 9 8	11/1988	Billiet et al.	55	269	

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	D.L						
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	D.P						
	D.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

<i>dlh</i>	D.R	Forma Scientific, Inc., <u>Reach In Conditioning Chamber</u> , Three Photographs of Unit Sold in 1980
<i>dlh</i>	D.S	GenX International, <u>Coda Incubator Unit</u> , Brochure, 10/1999, 9 pgs.
<i>dlh</i>	D.T	Forma Scientific, Inc., <u>Forma Direct Heat CO2 Incubators</u> , Brochure, 9/00, 8 pgs.

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>PLH</i>	E.A	4	7	8	6	7	8	4	11/1988	Nikodem	219	543	
	E.B	4	7	8	9	2	8	3	12/1988	Crawford	411	43	
	E.C	4	8	4	1	2	8	3	6/1989	Bulbiewicz	340	545	
	E.D	4	8	9	6	1	4	3	1/1990	Dolnick	340	634	
	E.E	4	9	3	5	3	7	1	6/1990	Rickloff	435	296	
	E.F	4	9	5	2	7	8	3	8/1990	Aufderheide et al.	219	528	
	E.G	5	0	0	5	5	2	3	4/1991	Foster	119	37	
	E.H	5	0	2	1	0	7	4	6/1991	Kovacik	65	40	
	E.I	5	0	2	8	7	5	9	7/1991	Finley	219	203	
	E.J	5	0	4	7	3	4	8	9/1991	Stinson	435	311	
<i>PLH</i>	E.K	5	0	6	6	1	1	1	11/1991	Singleton	359	275	

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		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	E.L						
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OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

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Kimberly A. Hagan

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>MS</i>	F.A	5 0 9 0 6 1 7	2/1992	Swan	236	3	
	F.B	5 1 1 9 4 6 7	6/1992	Barsky et al.	392	439	
	F.C	5 1 4 5 4 9 4	9/1992	Sowinski	55	74	
	F.D	5 1 6 1 3 2 9	11/1992	Brown	49	80	
	F.E	5 1 9 3 7 0 9	3/1993	Brassell	220	371	
	F.F	5 2 1 7 6 9 2	6/1993	Rump	422	98	
	F.G	5 2 5 2 2 0 6	10/1993	Gonzalez	210	282	
	F.H	5 3 4 2 6 7 6	8/1994	Zagdoun	428	216	
	F.I	5 3 4 8 5 6 3	9/1994	Davis	55	385.2	
	F.J	5 3 5 2 4 1 4	10/1994	Rothenberg	422	101	
<i>MS</i>	F.K	5 3 5 4 9 6 6	10/1994	Sperbeck	219	203	

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	F.L					
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	F.P					
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OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	F.R	
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Mark Chaudhary

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09/8411486

INFORMATION DISCLOSURE
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>llh</i>	G.A	5	3	6	0	4	6	9	11/1994	Baron et al.	95	273	
	G.B	5	4	1	6	9	3	1	5/1995	Wolfenden	4	524	
	G.C	5	4	1	8	1	3	1	5/1995	Butts	435	3	
	G.D	5	4	2	4	2	0	9	6/1995	Kearney	435	284	
	G.E	5	4	9	3	9	9	4	2/1996	Cox et al.	119	6.8	
	G.F	5	5	1	4	1	9	6	5/1996	Tanahashi et al.	55	324	
	G.G	5	5	6	9	0	0	5	10/1996	Millington	411	34	
	G.H	5	5	9	8	8	0	7	2/1997	Cox et al.	119	6.8	
	G.I	5	6	2	6	8	2	0	5/1997	Kinhead et al.	422	122	
	G.J	5	7	7	2	7	3	8	6/1998	Muraoka	96	129	
<i>llh</i>	G.K	5	7	9	2	4	2	7	8/1998	Hugh et al.	422	109	

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

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	G.L						
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	G.R	
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Michael A. Blum

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT David Lohr et al.			
(Use several sheets if necessary)				FILING DATE August 28, 2001		GROUP 1724	
U.S. PATENT DOCUMENTS							
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	H.A	5 8 2 7 3 3 9	10/1998	Nagafune et al.	55	350.1	
	H.B	5 8 3 3 7 2 6	11/1998	Kinthead et al.	55	356	
	H.C	5 8 8 2 9 1 8	3/1999	Goffe	435	286.6	
	H.D	5 8 9 3 9 3 9	4/1999	Rakocy et al.	55	471	
	H.E	5 9 2 2 1 0 5	7/1999	Fujii et al.	95	63	
	H.F	5 9 3 3 7 0 2	8/1999	Goswami	422	186.3	
	H.G	5 9 4 2 9 7 4	8/1999	Pfreundschuh et al.	340	545.6	
	H.H	5 9 6 4 1 8 2	10/1999	Cox et al.	119	6.8	
	H.I	5 9 6 7 0 8 0	10/1999	Cox et al.	119	6.8	
	H.J	5 9 8 3 8 3 0	11/1999	Cox et al.	119	6.8	
	H.K	6 0 1 3 1 1 9	1/2000	Cecchi et al.	55	385.2	
	FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS						
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	H.L						
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	OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)						
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EXAMINER 				DATE CONSIDERED 2/10/03			

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(MODIFIED) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
FSI-83

SERIAL NO.
09/942/86

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

APPLICANT
David Lohr et al.

(Use several sheets if necessary)
(37 CFR 1.98(b))

FILING DATE
August 28, 2001

GROUP
172

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>dl</i>	I.A	6	1	1	7	6	8	7	9/2000	Hugh	436	183	
<i>dl</i>	I.B	6	2	0	0	3	6	2	3/2001	Cecchi et al.	55	486	
<i>dl</i>	I.C	6	2	2	5	1	1	0	5/2001	Cecchi et al.	435	303.1	
	I.D												
	I.E												
	I.F												
	I.G												
	I.H												
	I.I												
	I.J												
	I.K												

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

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EXAMINER

Michael A. Lohr

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